

Procedures for Halt and Resumption Applications

Of

CHC Healthcare Group (The “Company”)

Article 1 (Basis for Adoption)

The Procedure is established pursuant to paragraph 1 of Article 13-4 of “Taiwan Stock Exchange Corporation Procedures for Verification and Disclosure of Material Information of Companies with Listed Securities” (the TWSE Procedure) for compliance.

Article 2 (Purpose)

In order to put confidentiality of material information and mechanism of timely disclosure into practice and have sufficient time for material information to be circulated among the public, the Company shall apply for halt of dealings on its own initiative when it plans to do publicly disclose or convene a meeting of the Board of Directors to adopt resolutions on any material issues before 5:00 P.M. on a business day. And for the purpose of reducing information asymmetry, the Company can only apply for resumption of dealings after the information has been fully disclosed and explained and enough time has been given to the investors for evaluating the information.

Article 3 (Regulatory Compliance)

The Company’s procedures for dealing halt and resumption applications shall be dealt with pursuant to relevant regulation of TWSE and the Procedure.

Article 4 (Authority of the Application of Halt and Resumption)

The Company shall appoint Finance Accounting Department as authority of the application of halt and resumption (the Authority).

The Authority shall always pay attention whether there is any circumstance happened that ought to apply for dealing halt and resumption. If so, the Authority shall do the applications on its own initiative. And two-way communication between employee of TWSE and the Authority shall be maintained in order to facilitate the process of application.

Article 5 (Application of Dealings Halt and Resumption)

The Company shall apply to the TWSE for a halt of dealings on its own initiative when it plans to do publicly disclose or convene the Board of Directors meeting to adopt resolutions on any items listed in paragraph 1 of Article 13-1 of the TWSE Procedure before 5:00 P.M. on a business day. Once a full explanation of the information relevant to the cause for the halt has been provided or the halt is no longer necessary due to a change of circumstances, the Company shall apply to the TWSE for resumption of dealings on its own initiative.

Article 6 (Level with the Final Decision-making Authority of Dealings Halt)

Before the Company prepares the content of a proposal for a meeting of the Board of Directors or does publicly disclose of material information, the Authority shall check if there is any items met the provisions in the preceding article that ought to apply for dealing halt and resumption. If so, it shall apply to the TWSE for a halt of dealings with an “Application for Suspension of Trading”

and relevant documentation faxed and both email and call to inform TWSE of name and number of the contact person of the Company simultaneously one business day before the public disclosure or meeting. An “Application for Suspension of Trading” and relevant documentation shall be reported to the President for approval then apply the Company’s official seal and authorized signatory’s seal.

The Company may submit the application before 7:00 A.M. on the business day of the public disclosure or meeting of the Board of Directors provided that there is an emergency situation preventing timely application. Documentation regards to the emergency situation shall also be submitted to TWSE for verification.

Article 7 (Level with the Final Decision-making Authority of Dealings Resumption)

Once publicly disclose has been done according to relevant procedures and regulations and based on the resolutions adopted by the Board of Directors or the actual situation, the Company shall apply to the TWSE for a resumption of dealings with an “Application for Resumption of Trading” and relevant documentation faxed and both email and call to inform TWSE of name and number of the contact person of the Company simultaneously. An “Application for Resumption of Trading” and relevant documentation shall be reported to the President for approval then apply the Company’s official seal and authorized signatory’s seal.

Article 8 (Principles for Public Disclosure and Filing of Information)

During the time of dealing halt and before publicly disclose, any directors, managerial officers, and employees with knowledge of material inside information of the Company shall comply with “Procedures for Handling Material Inside Information and Regulations on Insider Trading” of the Company and put security mechanisms into practice. Also the Company shall comply with the following principles when making publicly disclose of material inside information:

1. The information disclosed shall be accurate, complete, and timely.
2. There shall be a well-founded basis for the information disclosure.
3. The information shall be disclosed fairly.

Article 9 (Point of Time for Public Disclosure and Filing of Information)

Within one hour after Taiwan Stock Exchange publishes information regarding halt or resumption on “Market Information System” (http://mis.twse.com.tw/stock/index.jsp?lang=en_us), the Company shall announce to the public of halt or resumption of its securities dealings through material information.

Article 10 (Adoption of Implementation and Amendment by the Board of Directors)

The Procedure, and any amendments hereto, shall enter into force after adoption by the Board of Directors.

Version	Date of Implementation/Amendment	Type of Approval
1	2015/11/10	Approved by Board of Directors
2	2016/11/08	Approved by Board of Directors
3	2017/07/28	Approved by Board of Directors